



In Re the Application of:

ANDREW F. GLEW, ET AL.

Application No.: 10/039,595

Filed: December 31, 2001

For: Authenticated Code Module

Art Group: 2131

Examiner: Unassigned

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**Technology Center 2100** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 6, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated August 6, 2002.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 15, 2002

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 15, 2002

11-15-02

Corrinn R. Reynolds

Date

Substitute for Form 1449A/PTO (Modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known						
Application Number	10/039,595					
Filing Date	December 31, 2001					
First Named Inventor:	Andrew F. Glew					
Group Art Unit	2131					
Examiner Name	Unassigned					
Attorney Docket Number	042390P13735					

	Sheet	1		of	2	Attorney Docket Numb	er	042390P137	735		
	U.S. PATENT DOCUMENTS										
	Exam. Cite U.S. Patent Document Initial* No.1				Name of Pa	Name of Patentee or Applicant Date of Cited Document Cite			Pages, Columns, Lines, Where Relevant		
	PE		Number Code <sup>2</sup>	Kind (If known)	7			DD-YYYY	Passages or Relevant Figures Appear		
'Q		~ O	4,571,672		Hatada, et al.			18/1986			
	119	3)	5,953,502	Α	Helbig, Sr.			14/1999			
	HON 1 3	Ġ.	6,101,584		Satou, et al		08/	08/2000			
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	FOREIGN PATENT DOCUMENTS										
Exam. Initial*	Cite No. <sup>1</sup>		Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т°			
			EP 1 030 237 A		Hewlett Packard	08/23/2000					

Examiner			Date Considered	
Signature				

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the twoletter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or Form 1	1449A/PTO (Modified)		Comple	ete if Known				
INE	) RM	ATION DISCL	OSURE	Application Number 10/039,595					
	_			Filing Date	December 31, 2001				
STA		ENT BY APPL		First Named Inventor:	Andrew F. Glew				
	(use a	as many sheets as necessai	y)	Group Art Unit	2131				
·				Examiner Name Unassigned					
Sheet	2	of 2 Attorney Docket Number 042390P13735							
		OTHER AF	RT - NO PATENT	LITERATURE DOCUMENTS	S				
Examiner Initials*	nitials* No1 item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue								
MIN 1 9 200	(C) (S)	Heinrich, J., "MIPS F Mountain View, CA,		ssor User's Manual," 1994, M	IPS Technology, Inc.,				
PIENT & TRADE		Heinrich, J. "MIPS R XP002184449, pp. 6		sor User's Manual," April 1, 1	993, MIPS, Mt. View,				
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			-4	Technology C	Senter 2100				
Examiner				Date					

Considered

Examiner

Signature

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a correction of information unless it displays a valid OMB control number. Application No. 10/039,595 TRANSMITTAL FORM Filing Date December 31, 2001 (to be used for all correspondence after initial filing) First Named Inventor Andrew F. Glew Group Art Unit 2131

		Examiner Name	Unassigned				
Total Number of Pages in This Submiss	sion 17	Attorney Docket Number	42390P13735.				
ENCLOSURES (check all that apply)							
Fee Transmittal Form	Assignmen (for an App	t Papers lication)	After Allowance Communication to Group				
Fee Attached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences				
Amendment / Response	Licensing-r	elated Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final Affidavits/declaration(s)	Petition		Proprietary Information				
Extension of Time Request	Petition to ( Provisional	Convert a Application	Status Letter				
Express Abandonment Request	Power of A Change of	ttorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):				
Information Disclosure Statement	Terminal D	isclaimer	SB08 Form; Copy of Search Report and 6 Cited				
Certified Copy of Priority Document(s)	Request for	r Refund	References				
Response to Missing Parts/ Incomplete Application	CD, Number	er of CD(s)	RECEIVED				
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	_	NOV 2 1 2002				
		Γ	echnology Center 2100				
SIGNATUR	RE OF APPLICA	IT, ATTORNEY, OR A	GENT .				
Firm William W. So	haal, Reg. No.	39,018					
or Individual name BLAKELY, S	SOKOLOFF, T	OKOLOFF, TAYLOR & ZAFMAN LLP					
Signature	7//						
Date November 13							
CERTIE	ICATE OF MAIL	ING/TRANSMISSION					
	I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:  November 15, 2002						
Typed or printed name Corrinn R.							
Signature (2	- K Ki	grolds-	Date November 15, 2002				

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

AFEE TO AN COMITTAL	Complete if Known				
FEE TRANSMITTAL	Application Number	10/039,595			
for FY 2003	Filing Date	December 31, 2001			
Patent fees are subject to annual revision.	First Named Inventor	Andrew F. Glew			
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned			
	Group/Art Unit	2131			
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	42390P13735			

METHOD OF PAYMENT (check one)				FE	E CALCULATION (continued)	
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Deposit Account	Fee	Fee	Fee	Fee	<u>_</u>	
Deposit	Code	(5)	Code	(3)	Fee Description	Fee Paid
Account 02-2666	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920 1	<ul> <li>Requesting publication of SIR prior to Examiner action</li> </ul>	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.		4.0404		4 040 5		ļ
	1805	1,840 *	1805	1,840	Requesting publication of SIR after Examiner action	:
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	400	2252	200	Extension for reply within second month	
1. BASIC FILING FEE	1253	920	2253	460	Extension for reply within third month	
Large Entity Small Entity	1254	1,440	2254	720	Extension for reply within fourth month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1255	1,960	2255	980	Extension for reply with the onth EIVED	<i></i>
	1404	320	2401	160	Notice of Appeal	
1001 740 2001 370 Utility filing fee 1002 330 2002 165 Design filing fee	1402	320	2402	160	Filling a brief in support of a popular 2 1 2002	
1003 510 2003 255 Plant filing fee	1403	280	2403	140	Request for oral hearing	00
1004 740 2004 370 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute published by the Center 21	00
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - anavoidable	
SUBTOTAL (1) (\$)	1453	1,280	2453	640	Petition to revive - unintentional	
300101AE(1) ((a)	1501	1,280	2501	640	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from	1502	460	2502	230	Design issue fee	
Claims below Fee Paid Total Claims =	1503	620	2503	310	Plant issue fee	
Independent - 20 = X	1460	130	2460	130	Petitions to the Commissioner	
Claims 3 X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Fee Description Code (5)	1809	740	1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1810	740	2810	370	For each additional invention to be	<u> </u>
1201 84 2201 42 Independent claims in excess of 3  1203 280 2203 140 Multiple Dependent claim, if not paid				<b>.</b>	examined (37 CFR § 1.129(b))	
1204 84 2204 42 "Reissue independent claims over original	1801	740	2801	370	Request for Continued Examination (RCE)	
patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fe	e (specify)	1	_	о а оснут аррисация	
SUBTOTAL (2) (\$)			_		<u></u>	
or number previously paid, if greater, For Reissues, see below	*Reduced	by Basic Film	g Fee Pai	id	SUBTOTAL (3) (\$)	

SUBMITTED BY Complete (if applicable) Registration No. (714) 557-3800 Name (Print/Type) 39,018 Telephone William W. Schaal (Attorney/Agent) 11/15/02 Signature Date

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